Forbes | SHOOK TOP ADVISOR SUMMIT

Monday, October 10, 2022

TIME	SESSION & SPEAKER
2:00 pm	Registration – Brahms 1 & 2
6:00-9:00 pm	Pre-Party hosted by First Trust at Jardin *Exclusively for advisors and their better-half*

Tuesday, October 11, 2022 PRE-CONFERENCE

TIME	SESSION & SPEAKER
10:00am	Registration – Brahms 1 & 2
2:00-2:20 pm	Pre-Con 1: How Business Leaders Invest for Tomorrow — Randy Conner, Churchill Management Group — Rachel Gottlieb, UBS Wealth Management — Janet Koh, Director, Advisor Advancement Institute, New York Life Investments
2:20-2:40 pm	Pre-Con 2: Building Brand Relevance — Mike Fox, Founder, The Mullingar Group — Kevin Parent, Sr. Investment Consultant, Thornburg
2:40-3:00 pm	Pre-Con 3: Lessons Learned from the Past 10 years — Steve Deschenes, Director of Client Research, Capital Group, Home of American Funds — Michael Schweitzer, Senior VP & Head of HNW Strategy & Distribution, Capital Group, Home of American Funds
3:00-3:10 pm	REFRESHMENT BREAK
3:10-3:30 pm	Pre-Con 4: Corrections, Inflation & Recessions: Exhibits to Help Clients Navigate Today's Turbulent Market — Chris Davis, Chairman & Portfolio Manager, Davis Advisors — Dodd Kittsley, National Director, Davis Advisors
3:30-3:50 pm	Pre-Con 5: Your Money Story – Clients Unique History with Money — John Shadden, Morgan Stanley Private Wealth Management — Brian Werdesheim, Oppenheimer — Bill McManus, Director, Hartford Funds
3:50-4:10 pm	Pre-Con 6: Emerging Innovation Trends in the Knowledge Economy — Abhi Arun, General Partner, Alkeon Capital — John Blau, Managing Director, Alkeon Capital
4:10-4:30 pm	Pre-Con 7: Transformational Conversations: Blueprints from America's Top Advisor Teams — Chris Brady, Sr. Regional Vice President, Pacific Life
4:30-5:00 pm	Pre-Con 8: SHOOK Best Idea Competition — Judge: Krista Goryl, Head of HNW Strategy & Growth, WF Wealth & Investment Management — Judge: Tim Oden, Managing Director, Advisor Services Bus. Development, Charles Schwab — Judge: Heather Crist, Head of Field Engagement, UBS Wealth Management USA — Moderator: Frank Berland, Managing Partner, SHOOK Research — Moderator: Liz Shook, COO & CO-Founder, SHOOK Research
5:30-6:30 pm	COCKTAIL RECEPTION
6:45 pm	GALA DINNER Welcome to Our Special Event — Liz Shook, COO & Co-Founder, SHOOK Research
6:55pm	Introduction — Joseph Lohrer, Sr. Managing Director & Head of U.S. Retail Sales, Blackstone Private Wealth Solutions

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TIME	SESSION & SPEAKER
7:00 pm	R.J. "The SHOOK Effect" — R.J. Shook, Co-Founder & President, SHOOK Research, Senior Forbes Contributor
7:25 pm	ESG for Thee, China for Me — Mike Pompeo, 70th United States Secretary of State — Vivek Ramaswamy, Co-Founder & Executive Chairman, Strive Asset Management — Moderator: Randall Lane, Editor in Chief, Content Officer, Forbes Magazine — Introduction: R.J. Shook, Co-Founder & President, SHOOK Research, Senior Forbes Contributor
8:00-9:00 pm	CHAMPAGNE RECEPTION SPONSORED BY NY LIFE INVESTMENTS

Wednesday, October 12, 2022

TIME	SESSION & SPEAKER
7:00am	Registration – Registration Desk 5
7:00-8:00 am	Breakfast
8:00-8:10 am	Opening Remarks — Frank Berland, Managing Partner, SHOOK Research
8:10-8:20 am	SHOOK One's - My Best Idea — Amir Mossanen, Truist — Tom Burt, Managing Director, Golub Capital
8:20-8:35 am	The SHOOK Effect – Purpose and Innovation — Erin Scannell, Ameriprise Financial Services — Drew Freides, UBS Private Wealth Management — Moderator: Pat O'Connell, EVP, President Ameriprise Advisor & Ameriprise Financial Institutions Group — Sam Castner, Regional Director, Global X ETFs
8:35-8:50 am	Real Estate in an Inflationary Environment — Kathleen McCarthy, Sr. Managing Director, Blackstone Real Estate — Moderator: Michael Poppo, UBS Wealth Management — Mike Dupuis, Managing Director, Blackstone Private Wealth Solutions
8:50-9:10 am	No child left behind...The Race for Ella — Jamie Shook, Sophomore, Wake Forest Univ., St. Jude Junior Leadership Board, Make-A-Wish Junior Member & CCRF — SOAR Leadership Council — Introduction: Josh Stamer, Sr. Managing Director, Foundation Source
9:10-9:25 am	REFRESHMENT BREAK
9:25-9:40 am	Team Structures and Compensation — Adam Epstein, UBS Private Wealth Management — Liz Weikes, J.P. Morgan Wealth Management — Moderator: John Mathews, Managing Director Head, PW & UHNW, UBS Wealth Management USA — Tom Pellowe, Managing Director, BlueOwl Capital
9:40-10:00am	Investing in the Current Macro Environment/Insights from Rick Rieder — Rick Rieder, Managing Director & Global Chief Investment Officer, BlackRock — Kelly Apple, Divisional Director, BlackRock
10:00-10:05am	SHOOK One's - My Best Idea — Peter Rohr, Merrill Private Wealth Management — Greg Feldman, Private Wealth Consultant, Thornburg
10:05-10:20 am	Infrastructure's Role in Today's Client Portfolio — Raj Agrawal, Global Head of Infrastructure Business, KKR — Moderator: Nestor Vicknair, Merrill Lynch Wealth Management — Shannon Rutter, Director, Client and Partner Group, KKR
10:20-10:35 am	Exit Interview with the G.O.A.T. — Bill Miller, Chairman, CIO & Founder, Miller Value Partners — Moderator: Marvin McIntyre, Morgan Stanley Private Wealth Management

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TIME	SESSION & SPEAKER
10:35-10:40 am	SHOOK One's - My Best Idea — Victor Livingstone, Morgan Stanley Private Wealth Management — Andrew Wellington, Co-Founder, Managing Partner & CIO, Lyrical Asset Management
10:40-10:55 am	How the Best Become the Best — Dr. Kevin Elko, Advisor Advancement Institute, New York Life Investments — Raj Sharma, Merrill Private Wealth Management — Jamie Hawes, Advisor Consultant, New York Life Investments
10:55-11:10 am	SNACK BREAK
11:10-11:45 am	CONCURRENT BREAKOUTS Breakout #1: Incorporating Options to Reduce Volatility and Generate Income — Hamilton Reiner, Managing Director, J.P. Morgan Asset Management — Daniel T. Sullivan, VP & Client Advisor, J.P. Morgan Asset Management — Moderator: Shawn Gold, Merrill Lynch Wealth Management Breakout #2: Generating Referrals Through Centers of Influence — Brian Gallary, Head of Strategy & Consulting, First Trust Portfolios L.P. Breakout #3: Engaging Family Wealth Systems — Tobias Donath, Sr. VP, Center for Family Engagement, Fidelity Investments Breakout #4: Value, Volatility, and Inflation Through the First Eagle Lens — Matthew Lamphier, Portfolio Manager & Director of Research, First Eagle Investments — Moderator: Stephen Weatherly, Merrill Lynch Wealth Management
11:45-12:45 pm	LUNCH
11:45-11:50am	— Moira Forbes, Forbes Media
11:50am-12:25pm	Fireside Chat with Cathie Wood — Cathie Wood, CEO & CIO, Ark Investment — Ron Insana, Senior Advisor, Schroders — Robert Malve, Advisor Consultant, Hartford Funds
12:25-12:35pm	Forbes/SHOOK – How Top Advisors Are Leveraging Recognition — Paul Reiss, Group VP, Strategic Partnerships & Licensing, Forbes — Nick LoMaglio, UBS Wealth Management
12:45-1:00pm	De-globalization, Portfolio Implications of the Western Pivot Away from China — Matt Pottinger, Former U.S. Deputy National Security Advisor — Moderator: Ryan Caldwell, Managing Director, FS Investments — JP Armenio, Global Head of Institutional Business, FS Investments
1:00-1:15pm	Opportunities in Munis — Dave Hammer, Head of Municipal Bond Portfolio Management, PIMCO — Moderator: Andrew Vahab, First Republic Investment Management — Peter Prinstein, Executive VP & Head of Private Client Group, PIMCO
1:15-1:30pm	REFRESHMENT BREAK
1:30-2:05pm	CONCURRENT BREAKOUTS Breakout #1: Business Owners Insights — Diego Verdugo, Director, Advisor Consulting Team Breakout #2: Periodic Table of Investments — Joe Ross, VP of Sales Productivity & Business Development, AIG Financial Distributors — Ryan Tapak, VP & Divisional Sales Manager, AIG Financial Distributors

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TIME	SESSION & SPEAKER
	Breakout #3: The Evolution and Implementation of Alternative Investment Strategies for HNW Portfolios — Matt Stadtmauer, Head of Private Wealth, Select Equity Partners — Claudio Macchetto, Managing Director, GoldenTree Asset Management — Howard Nifoussi, Managing Director, Apollo — Moderator: Chris Toomey, Morgan Stanley Private Wealth Management
2:05-2:15pm	SNACK BREAK
2:15-2:30pm	Tax Efficient Ideas for 2022 — Colleen Jaconetti, Sr. Manager, Investment Advisory Research, VanGuard — Gerry Klingman, Klingman & Associates — Kevin Myeroff, Sequoia Financial Group
2:30-2:45pm	Why Crypto? An Opportunity Advisors Can No Longer Ignore — Matt Hougan, Chief Investment Officer, Bitwise Asset Management — Ric Edelman, Founder, Digital Assets Council of Financial Professionals
2:45-3:05pm	Alternative Investments – Private Equity & Private Credits — Lynn Baranski, Global Head of Investments, Private Equity Partners, BlackRock — Armen Panossian, Head of Performing Credit and Portfolio Manager, Oaktree Capital Management — Moderator: Ron Insana, Senior Advisor, Schroders — John Sievers, Head of U.S. Sales, Brookfield Oaktree Wealth Solutions
3:05-3:20pm	Rising Rates, Soaring Inflation and Fading Fiscal Stimulus, Oh My! — Mike Arone, Chief Investment Strategist, State Street Global Advisors SPDR ETFs — Moderator: Marc Rosenbach, Wells Fargo Advisors — Darius Tandy, VP of Private Wealth Division, State Street Global Advisors SPDR ETFs
3:20-3:25pm	SHOOK One's – My Best Idea — Shawn Fowler, Morgan Stanley Private Wealth Management — Ryan Tapak, VP & Divisional Sales Manager, AIG Financial Distributors
3:25-3:40pm	Investing in 2022 and Beyond: Guide to the Markets — Dr. David Kelly, Chief Global Strategist & Head of Global Markets Insights Strategy, J.P. Morgan Asset Management — Jim George, Managing Director & Client Advisor, J.P. Morgan Asset Management
3:40-3:55pm	Private Real Estate Today — Mark Binder, UBS Private Wealth Management — John McCarthy, CEO, Starwood Real Estate Income Trust — Chip Saltz, National Sales Manager, Starwood Capital L.L.C
3:55-4:10pm	REFRESHMENT BREAK
4:10 -4:30pm	In Search of Greatness: Getting the Best from Yourself and Your Team — Paul Brunswick, Head of Invesco Global Consulting, Invesco — Jeff Germain, Wells Fargo Advisors — Ron Munman, J.P. Morgan Wealth Management — Nick Cirbo, Senior Advisor Consultant, Invesco
4:30-4:50pm	Fireside Chat with Professor Siegel — Jeremy Siegel, Sr. Investment Strategy Advisor, WisdomTree & Emeritus Professor of Finance at The Wharton School of the University of Pennsylvania — Jeremy Schwartz, Global Chief Investment Officer, WisdomTree — Andrew Tsiropinas, Head of Wirehouse & IBD Distribution - East, WisdomTree
4:50-5:05pm	Bonds Are Back! — Gibson Smith, Founder, Smith Capital Investors — Moderator: Richard B. Jones, Merrill Private Wealth Management

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5:05-5:20pm	Investing for 5-10 Months and 5-10 Years — Richard Bernstein, CEO & CIO, Richard Bernstein Advisors — Terry Ober, National Director, Richard Bernstein Advisors
5:20-5:35pm	Conversation with America's #1 Advisor and #1 Next-Gen — Jeff Erdmann, Merrill Private Wealth Management — Xi Qiao, UBS Wealth Management — Robert Bruno, Head of Retail Distribution, First Eagle Investments
5:35-5:40pm	Closing Remarks — Frank Berland, Managing Partner, SHOOK Research
5:40-6:30pm	COCKTAIL RECEPTION
6:30pm	DINE-AROUNDS WITH PARTNERS

Thursday, October 13, 2022

TIME	SESSION & SPEAKER
7:30-8:30am	Breakfast
8:30-8:40am	Welcome Remarks — Liz Shook, Co-Founder & COO, SHOOK Research
8:40-8:45am	SHOOK One's – My Best Idea — Raj Sharma, Merrill Private Wealth Management — Ryan Welch, Sr. VP, Advisors Asset Management
8:45-9:00am	Capturing the Opportunity Ahead: A Conversation with Vince Lumia — Ron Insana, Senior Advisor, Schroders — Vince Lumia, Head of Field Management, Wealth Management, Morgan Stanley Wealth Management — Michael Schweitzer, Sr. VP & Head of HNW Strategy & Distribution, Capital Group, Home of American Funds
9:00-9:20am	Key Drivers of Growth for Top Performing Advisors — Ken South, Tower 68 Financial Advisors — Todd Silaika, Merrill Lynch Wealth Management — Moderator: Alisa Maute, EVP, Growth Solutions, LPL Financial
9:20-9:40am	International & Domestic Opportunities — Jon Mackay, Head of Platform Distribution, Schroders — Eric Hundahl, Head of Portfolio Strategy, BNY Mellon — Moderator: Ron Insana, Senior Advisor, Schroders — Sevan Sakayan, Western Regional Director, BNY Mellon
9:40-10:00am	Fear No Bear – 3 Habits of Highly Effective Financial Professionals During Down Markets — Patti Brennan, Key Financial Inc. — Seth Haye, Morgan Stanley Wealth Management — Tim Seifert, Sr. VP & Head of Ret. Solo. Dist., Lincoln Financial Group
10:00-10:15am	REFRESHMENT BREAK
10:15-10:30am	Real Assets & Inflation: Seeking to Optimize Your Portfolio in a Low-Growth, High-Inflation Environment — Marc Dummer, Managing Director & Portfolio Manager, Principal Global Asset Allocation — Alisa Guetzkow, Senior Director, Principal Global Investors

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10:30-10:50am	LoMo (The Longevity of Money) — Jeannie Underwood, SVP, Head of Global Atlantic Consulting
10:50-10:55am	Carbon Markets: Asymmetric Returns — Luke Oliver, Managing Director, KraneShares
10:55-11:05am	The Last Word — R.J. Shook, Co-Founder & President, SHOOK Research, Senior Forbes Contributor — Liz Shook, Co-Founder & COO, SHOOK Research